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PTO/SB/21 (08-00)

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Receipt

| | | | |
|---|----------------------|------------------------|-------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Applicant Number | 09/885,356 | |
| | Filing Date | June 20, 2001 | |
| | First Named Inventor | Shamim A. Alpha | |
| | Group Art Unit | 2171 | |
| | Examiner Name | Jeevon Jones | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 27638/04001 |

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below) 1. Request for Corrected Filing Receipt (3 pages); 2. Copy of Transmittal and postcard; 3. Return Receipt Postcard. |
|--|---|---|

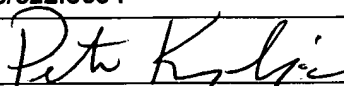

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-----------------------------|--|
| Individual name & Telephone | Peter Kraguljac (38,520) 216/622.8654 |
| Signature & Date |  November 1, 2001 |
| Bar Code Label |  24024 PATENT TRADEMARK OFFICE |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, Washington, D.C. 20231 on this date: November 1st, 2001

| | |
|-----------------------|---|
| Typed or printed name | Amy Canfield |
| Signature |  |
| Date | November 1, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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BAR CODE LABEL



24024

PATENT TRADEMARK OFFICE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------|------------------------------------|--------------|
| In re Application of: |) Examiner: | Jeevon Jones |
| Shamim A. Alpha |) | |
| |) Group Art Unit: | 2171 |
| Serial No.: 09/885,356 |) | |
| |) | |
| Filed: June 20, 2001 |) | |
| |) | |
| For: METHOD AND SYSTEM FOR |) Attorney Docket No.: 27638/04001 | |
| RESPONSE TIME OPTIMIZATION OF |) | |
| DATA QUERY RANKINGS AND | | |
| RETRIEVAL | | |

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination's Customer Service Center
Washington, D.C. 20231

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Dear Sir:

1. Attached is a copy of the official filing receipt received from the United States Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

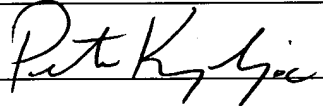
- ☒ incorrectly entered, and/or
☐ omitted.

| ERROR IN | CORRECT DATA |
|----------------------------------|---------------|
| 1. Applicants' name | 1. |
| 2. Applicants' City of Residence | 2. |
| 3. Title: | 3. |
| 4. Filing date: | 4. 06/20/2001 |
| 5. Serial Number: | 5. |
| 6. Foreign/PCT Application, re: | 6. |
| 7. Other: | 7. |

3. (Complete the following applicable item A or B)

- ☐ A. The corrections are not due to any error by applicants and no fee is due.
- ☒ B. No fee is believed due to enter this correction, however, if this is in error and if there are any fees due in connection with this matter, please charge our deposit account 03-0172.

4. Please note that the date written on the Express mailing label by the post office was 6-10-01 (4:35 p.m.). This is incorrect as the date was 6-20-01. The enclosed copies of the Transmittal and postcard show the filing date as 6-20-01. Besides, 6-10-01 was a Sunday and at 4:35 p.m., the post office would have been closed, and thus would not have been the actual date.

| | |
|--------------------------------|--|
| Individual Name & Telephone | Peter Kraguljac (38,520) 216/622.8654 |
| Signature & Date |  November <u>1</u> , 2001 |
| Bar Code Label | |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------------------------------|--------------|---------------|------------------|----------|------------|------------|
| 09/885,356 | 06/10/2001 06/20/2001 | 2171 | 710 | 27638/04001 | 2 | 20 | 3 |

24024 PK
CALFEE HALTER & GRISWOLD, LLP
800 SUPERIOR AVENUE
SUITE 1400
CLEVELAND, OH 44114



CONFIRMATION NO. 6203

FILING RECEIPT



OC00000006422309

Date Mailed: 08/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shamim A. Alpha, Foster City, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/14/2001

Projected Publication Date: 12/12/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for response time optimization of data query rankings and retrieval

Preliminary Class

707

AUG 27 2001
T.L.B. IP Dept.

Data entry by : MANALAC, AMELIA

Team : OIPE

Date: 08/14/2001

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C. J. L. P. DEPT.

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UTILITY PATENT APPLICATION TRANSMITTAL

JAN 15 2002

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 27638/04001 |
| First Inventor | Shamim A. Alpha |
| Title | METHOD AND SYSTEM FOR RESPONSE TIME OPTIMIZATION OF DATA QUERY RANKINGS AND RETRIEVAL |
| Express Mail Label No. | EL085017891US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - ☒ Descriptive title of the invention
 - ☒ Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - ☒ Background of the invention
 - ☒ Brief Summary of the invention
 - ☒ Brief Description of the Drawings (if filed)
 - ☒ Detailed Description
 - ☒ Claim(s)
 - ☒ Abstracts
- ☒ Drawing(s) (35 U.S.C. 113) [Total Pages 2]
- Oath or Declaration [Total Pages 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
- ☐ Other: Check for Application \$ 710.00
Check for Assignment \$ 40.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

24024 Customer Number or Bar Code Label



or Correspondence address below

| | | | | | |
|-------------------|------------------------|-----------------------------------|----------------|------|----------------|
| Country | | Telephone | (216) 622-8654 | Fax | (216) 241-0816 |
| Name (Print/Type) | Petar Kraguljac | Registration No. (Attorney/Agent) | 38,520 | Date | June 20, 2001 |
| Signature | <i>Petar Kraguljac</i> | | | | |

Certificate of Mailing

Express Mail No. EL085017891US

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on this 20th day of June, 2001 and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Signature *Angela S. B...* ANGELA S. B...



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Deposited with the United States Postal Service via Express Mail, Label No. EL085017891US, addressed to Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231, on this 20th day of June, 2001.

Application Of:

Filed:

Title:

Shamim A. Alpha.

June 20, 2001

METHOD AND SYSTEM FOR RESPONSE TIME OPTIMIZATION
OF DATA QUERY RANKINGS AND RETRIEVAL

27638/04001

Peter Kraguljac Reg. No. 38,520

Utility Patent Application

Attorney Docket No.:

Filing Attorney:

Filing of:

Please acknowledge receipt of the following:

- ☒ Utility Application Cover Sheet (1 page)
- ☒ Fee Transmittal Form PTO/SB/17 (1 page)
- ☒ Application Data Sheet (1 page)
- ☒ Specification (17 pages)
- ☒ Claims (5 pages)
- ☒ Abstract (1 page)
- ☒ Drawings (2 pages)

- ☒ Assignment Recordation Form (1 page)
- ☒ Assignment (2 pages)
- ☒ Declaration (2 pages)
- ☒ Return receipt postcard
- ☒ Checks in the amount of \$713.00
& \$40.00.

{AC0397.DOC;1}

